

Illinois Commerce Commission



ANNUAL REPORT Transportation Regulatory Fund Fiscal Year 2006

Printed by Authority of the State of Illinois
150 c. - October, 2006 - 549r



ILLINOIS COMMERCE COMMISSION

October 17, 2006

The Honorable Rod R. Blagojevich
Governor
State Capitol
Springfield, Illinois

Dear Governor Blagojevich:

In compliance with Section 18c-1604 of the Illinois Commercial Transportation Law [625 ILCS 5/18c-1604], the Illinois Commerce Commission is submitting an annual report of expenditures from the Transportation Regulatory Fund.

This report contains information pertaining to all monies deposited in the Transportation Regulatory Fund, all expenditures from the Transportation Regulatory Fund, a listing and description by function of all staff positions and the methods used to allocate expenses between the Transportation Regulatory Fund and other funds.

Sincerely,

A handwritten signature in black ink that reads "Charles E. Box".

Charles E. Box
Chairman

cc: State Library

Illinois Commerce Commission

Transportation Regulatory Fund

Annual Report of Expenditures

For Fiscal Year 2006

Section 18c-1604 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1604, requires the Illinois Commerce Commission to submit to the Governor and the General Assembly an annual report of expenditures from the Transportation Regulatory Fund. Section 18c-1604 states as follows:

"Annual Report of Expenditures. The Commission shall, within 60 calendar days after the end of the lapse period for each fiscal year, submit to the Governor and the General Assembly a report of the following for such fiscal year:

- (1) All monies deposited in the Transportation Regulatory Fund, showing the total and subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (2) All expenditures from the Transportation Regulatory Fund, showing the total and the sub-totals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (3) A listing and description by function of all staff positions actually funded, in whole or in part, at any time during the fiscal year, from the Transportation Regulatory Fund; and
- (4) The methods used to allocate expenses between the Transportation Regulatory Fund and other funds, and between classes within the Transportation Regulatory Fund."

Subsection (2) of Section 18c-1601 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1601(2), requires that the Commission "account separately for the receipt of monies from the following classes:

- (a) motor carriers of property, (other than carriers engaged in non-relocation towing);
- (b) rail carriers; and
- (c) other monies."

This report is provided in accordance with the above stated requirement.

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (1)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY2006 Income

INCOME ACCOUNT CODE	<u>TOTAL</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Intrastate Authority Franchise Fees	749,861	749,861	-
Intrastate Authority Applications	146,850	146,850	-
Interstate Stamps/Receipts	3,243,477	3,243,477	-
Petition to Reinstate License	5,400	5,400	-
Transfers	3,600	3,600	-
Lease Agreement	123,090	123,090	-
Exemption Certificates	6,100	6,100	-
Copying	6,040	2,416	3,624
Gross Revenue Tax-Railroads	319,656	-	319,656
Miscellaneous Fees	21,962	21,887	75
Tariff Auditing/Annual Reports	363	363	-
Relocator's License Fees	32,400	32,400	-
Relocator Tow Record/Invoice	821,250	821,250	-
Operator's License Fees	26,910	26,910	-
Dispatcher License Fees	5,310	5,310	-
Administrative Citations	492,490	492,490	-
Civil Penalties	169,361	169,361	-
Broker's License	1,900	1,900	-
Tower's License	350	350	-
Railroad Route Miles	327,563	-	327,563
Railroad Crossing	290,892	-	290,892
Grade Crossing Protection Fund	2,250,000	-	2,250,000
TOTAL	9,044,825	5,853,015	3,191,810

NOTE:

The above table includes funds received by the Commission during FY2006 which may not match the deposit amounts shown by the Comptroller's Office for FY2006. Any differences are due to deposits in-transit between the Commission and the Comptroller's Office. The above figures do not include revenues collected on behalf of other states in the Single State Registration Program.

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (2)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY2006 Expenditures

LINE ITEM	<u>TOTAL EXPENDITURES</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Personal Services	4,177,083	1,880,673	2,296,410
Pension Pickup	25,628	7,931	17,697
Retirement	326,164	143,488	182,676
Social Security	270,918	97,508	173,410
Group Insurance	866,362	411,933	454,429
Contractual Services	417,076	241,151	175,925
Travel	87,513	18,511	69,002
Commodities	17,133	11,052	6,081
Printing	14,553	6,552	8,001
Equipment	97,971	48,207	49,764
Electronic Data Processing	185,241	82,504	102,737
Telecommunications	337,776	256,900	80,876
Operation of Auto	119,266	60,103	59,163
Lump Sum	-	-	-
Refunds	7,758	6,638	1,120
 TOTAL	 6,950,442	 3,273,151	 3,677,291

The FY2006 expenditures from the Transportation Regulatory Fund by the Illinois Commerce Commission were allocated by transportation mode as shown above. These expenditures do not include the Single State Registration Program.

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (3)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund

The listing below represents all Commerce Commission employees by job titles that were funded from the Transportation Regulatory Fund in FY2006. This listing also provides a brief description of the major responsibilities of each job title and is sorted in Bureau order.

Bureau of Planning & Operations

Accountant	Posts and processes vouchers
Systems Developer I	Develops client/server and/or internet based computer systems
Information Systems Analyst II	Provides analysis of complex business operations and develops requirements and specifications for the creation of client/server and/or internet based computer systems
Senior Project Consultant	Performs process analysis, re-engineering, and optimization of selected work processes and business functions
Chief Information Officer	Manages the Agency' information management planning and implementation processes
Senior Financial & Budget Assistant	Performs technical and administrative duties related to monitoring the Grade Crossing Protection Fund as well as supervise and administer the Tax Processing function of the Financial Information Section

Office of Chairman and Commissioners

Chief Internal Auditor	Directs the agency's internal audit program
------------------------	---

Office of Executive Director

Human Resources Analyst

Coordinates personnel transactions to ensure the accuracy and validity of data reflected in employee transactions

Transportation Division

Bureau Chief

Manages the Transportation Division

Administrative Assistant II

Provides administrative support to Transportation Bureau Chief's Office

Chief Transportation Counsel

Provides broad range of legal services to the Transportation Division, directs program and staff

Technical Advisor IV

Staff attorney, represents Division in court and before the ILCC

Compliance Specialist

Provides compliance education to industry and shippers

Chief of Police

Oversees the ILCC Police activities including on-the-road enforcement and administrative staff functions

General Services Administrator I

Provides administrative support for the statewide Commission enforcement program

Administrative Assistant I

Administrative Assistant to Assistant Chief of Police

Public Service Administrator

Serves as Police Commander for Commission Police District

ICC Police Officer II
(8 positions)

Conducts on-the-road enforcement and investigations of intrastate motor carriers

Transportation Investigator (2 positions)	Conducts investigations of relocation towing companies, non-sworn
Administrative Assistant I	Provides administrative support to the household goods carrier enforcement program
Transportation Industry Analyst III	Performs staff functions related to the household goods carrier enforcement program including tariffs, audits and dispute resolution
Project Manager	Provides management of the motor carrier registration program
Homeland Security Director	Coordinates the continuous collection and dissemination of information related to the security of Illinois public service infrastructure, including utilities
Transportation Industry Customer Service Rep II (2 positions)	Provides customer service to the motor carrier industry regarding the issuance of licenses, registrations and credentials, filing of leases and the maintenance of liability insurance
Transportation Industry Customer Service Rep Trainee (2 positions)	Provides services to the public, and the transportation and insurance industries consistent with the clerical and technical tasks related to various laws, rules and regulations involved in the regulation of motor carriers
Manager – Review & Examination	Directs transportation hearings program
Administrative Assistant	Provides administrative support to the hearings program
Administrative Law Judge	Hears cases for household goods carrier authority, relocation towing licenses and railroad crossing improvement petitions

Manager – Railroad	Directs the Railroad Safety Program
Executive Secretary II	Provides secretarial and administrative support to Railroad Safety Program
Management Secretary	Provides secretarial and administrative support to Railroad Safety Program
Railroad Safety Specialist IV (5 positions)	Provide staff work related to the design, installation and maintenance of railroad warning and traffic warning signal systems, investigation of crossing collisions and incidents and conduct of Operation Lifesaver Public Education Program
Railroad Safety Specialist III (9 positions)	Provide staff work on cases involving the improvement of railroad grade crossings and crossing separations
Railroad Safety Specialist III	Conduct inspections to determine railroad company compliance with operating practice standards
Railroad Safety Specialist III (2 positions)	Conduct inspections of railroad equipment and facilities carrying or handling hazardous materials
Railroad Safety Specialist III (3 positions)	Conduct inspections of mainline track operated by registered rail carriers
Transportation Policy Analyst IV	Conduct research and analysis of transportation related issues

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (4)

Allocation of Expenditures
Between the Transportation Regulatory Fund
And Other Funds

Personal Services

The payroll for the fiscal year was established so that cross funding and transfer of employees from fund to fund for cash flow purposes would not occur throughout the year. The payroll fund assignments for positions in divisions other than the Transportation Division were in accordance with activities of the individual divisions and sections that perform both Transportation and Public Utility functions. The personal services related lines, (i.e., pension pick-up, retirement, social security, and group insurance) were allocated based on 625 ILCS 5/18c Section 1604.

Contractual Services

Fund specific expenditures were allocated to the appropriate fund. Where the purpose of the expenditure was attributable to more than one fund, the costs were allocated based on actual and/or anticipated use. Examples of this allocation are as follows:

Maintenance and rental of office equipment expenditures were allocated based on the ratios of the average annual transportation division headcount to the total agency headcount.

Office rent was allocated based on actual usage.

Court reporting allocation was based on the cost of the services provided.

Travel

Travel costs were allocated based on the purpose of the travel.

Other Lines

In most instances the following line item expenditures were fund specific and were allocated accordingly:

Commodities, Printing, Equipment, Telecommunications, Operation of Automobiles, Electronic Data Processing, and Refunds.

Allocation of Expenditures Between Classes
Within the Transportation Regulatory Fund

The Motor Carriers of Property, Rail Carriers, and Other Modes

Actual expenditures were charged to the various modes within the transportation fund when identifiable. Where expenditures were not attributable to a specific mode, allocations were determined and applied to these expenditures. In those instances where there were other specific "overhead" costs (such as office rental costs, court reporting, and copying costs), those expenditures were allocated according to headcount.